



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: application of: Gawalkiewicz, Jerzy *et al.*

Serial No: N/A 10/686,601 Art Unit: N/A

Filed: October 17, 2003 Examiner: N/A

Subject: Light Source for Use with a Light Guide, and a Lamp Mounting Arrangement

THE COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, VA 22313-1450
United States of America

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)

Sir:

To comply with applicants' duty of disclosure under 37 CFR 1.56, Form PTO-1449, listing documents known to applicant, is submitted herewith.

- ☒ Copies of the listed documents are enclosed.
☐ This is a continuing application and copies of the documents were submitted in respect of the parent application No.

Relevance of information not in English - 37 CFR 1.98(a)(3)

- ☒ To comply with 37 CFR 1.98(a)(3), an English language abstract is appended to Swiss patent No. 675776 (document #20)
☒ To comply with 37 CFR 1.98(a)(3), an English language abstract is appended to Japanese patent No. 2000098246 (document #21)
☐ Document # discloses
☐ The relevance of is discussed in the specification.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of form PTO-1449 be returned in accordance with MPEP Sec. 609.

The Commissioner is hereby authorized to charge any fee required to Deposit Account No. 20-0771.

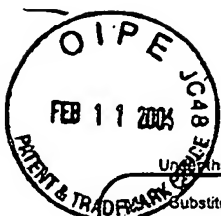
Respectfully submitted

DATE: February 5, 2004


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Docket No. AP941US



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

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| Application Number | 10-686601 |
| Filing Date | October 17, 2003 |
| First Named Inventor | Gawalkiewicz, Jerzy |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | AP941US |

Sheet 1 of 2

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | 1 | US- 4,505,555 | 03-19-1985 | Piller et al. | |
| | 2 | US- 5,400,176 | 03-21-1995 | Dreessen et al. | |
| | 3 | US- 4,998,810 | 03-12-1991 | Sander et al. | |
| | 4 | US- 1,537,228 | 05-12-1925 | Gargan | |
| | 5 | US- 4,986,622 | 01-22-1991 | Martinez | |
| | 6 | US- 5,017,140 | 05-21-1991 | Ascher | |
| | 7 | US- 5,099,399 | 03-24-1992 | Miller et al. | |
| | 8 | US- 5,295,052 | 03-15-1994 | Chin et al. | |
| | 9 | US- 5,653,519 | 08-05-1997 | Dobbs | |
| | 10 | US- 5,664,864 | 09-09-1997 | Kuth | |
| | 11 | US- 5,761,356 | 06-02-1998 | Li | |
| | 12 | US- 5,838,860 | 11-17-1998 | Kingstone et al. | |
| | 13 | US- 5,961,203 | 10-05-1999 | Schuda | |
| | 14 | US- 6,027,237 | 02-22-2000 | Riser et al. | |
| | 15 | US- 6,200,012 B1 | 03-13-2001 | Ouyang | |
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| | 17 | US- 6,217,205 B1 | 04-17-2001 | Ward | |
| | 18 | US- 6,382,824 B1 | 05-07-2002 | Prasad et al. | |
| | 19 | US- 6,422,730 B1 | 07-23-2002 | Koren et al. | |

FOREIGN PATENT DOCUMENTS

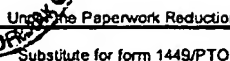
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | † ⁴ |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| | | Country Code ³ -Number ² -Kind Code ³ (if known) | | | | |
| | 20 | CH 675776 | 10-31-1990 | Ulrich et al. | | |
| | 21 | JP 2000098246 | 04-07-2000 | Koji | | |
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| Examiner Signature | Date Considered |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Application Number | 10-686601 |
| Filing Date | October 17, 2003 |
| First Named Inventor | Gawalkiewicz, Jerzy |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | AP941US |

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